

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
00054CIP

In Re Application Of: **James A. Belmont**

Serial No.
09/896,880

Filing Date
June 29, 2001

Examiner
John A. McPherson

Group Art Unit
1756

Title:

MODIFIED PIGMENT PRODUCTS, DISPERSIONS THEREOF, AND COMPOSITIONS COMPRISING THE SAME

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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Docket No.
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In Re Application: James A. Belmont

Serial No.
09/896,880

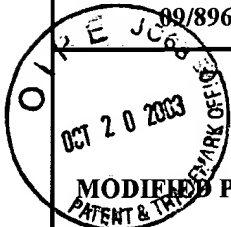
Filing Date
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Examiner
John A. McPherson

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MODIFIED PIGMENT PRODUCTS, DISPERSIONS THEREOF, AND COMPOSITIONS COMPRISING

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account 03-0060 as described below.
- ☒ Charge the amount of \$180.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. _____) on _____

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on 10/17/2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lyn M. Covenor

Signature of Person Mailing Correspondence

Lyn M. Covenor

Typed or Printed Name of Person Mailing Certificate

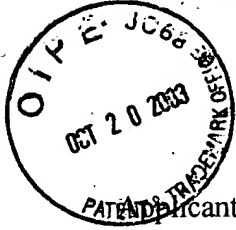
*This certificate may only be used if paying by deposit account.

Michelle B. Lando
Signature

Dated: October 17, 2003

Michelle B. Lando
Reg. No. 33,941
Cabot Corporation
Law Department
157 Concord Road
Billerica, MA 01821

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant:

James A. Belmont

Group Art Unit: 1756

Application No.: 09/896,880

Examiner: John A. McPherson

Filed: June 29, 2001

For: **MODIFIED PIGMENT PRODUCTS, DISPERSIONS THEREOF, AND COMPOSITIONS COMPRISING THE SAME**Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants respectfully request consideration of this Information Disclosure Statement.

Section 1. Preliminary Statements

Applicants submit herewith patents, publications, or other information on the attached form PTO-1449 (modified) of which they are aware, which they believe may be material to the patentability of the above-identified application and in respect of which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

The order of presentation of the references should not be construed as an indication of the importance of the references.

The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner.

Legible copies of **all** items listed on the attached form PTO-1449 (modified) are enclosed. It is respectfully requested that:

1. The Examiner considers completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited patent(s) and publication(s) has (have) been fully considered by the Patent and Trademark Office during the examination of this application; and
3. The citations for the patent(s) and publication(s) be printed on any patent which issues from this application.

Notwithstanding the above, the Examiner is urged to form his/her own conclusions regarding the relevance of each cited reference. An early and favorable action is respectfully requested.

Respectfully submitted,



Michelle B. Lando
Reg. No. 33,941
CABOT CORPORATION
157 Concord Road
Billerica, MA 01821-7001
(978) 670-7030

Date: October 17, 2003

Docket No.: 00054CIP
00054CIP-IDSletter

**FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE
(Modified) PATENT AND TRADEMARK OFFICE**

ATTY DOCKET NO.

SERIAL NO.

00054CIP

09/896,880

APPLICANT

James A. Belmont

FILING DATE

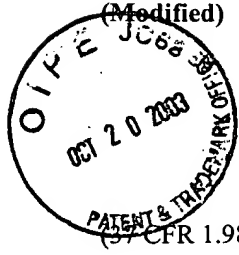
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use Several Sheets if necessary)



(37 CFR 1.98(b))

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U.S. PATENT DOCUMENTS

Examiner Initials	*	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
		2,867,540	1/59	Harris	106	307	
		3,335,020	8/67	Aboytes et al.	106	307	
		3,479,300	11/69	Rivin et al.	252	430	
		4,014,844	3/77	Vidal et al.	260	31.2	
		4,909,852	3/90	Atkinson	106	448	
		4,946,509	8/90	Schwartz et al.	106	496	
		5,200,164	4/93	Medalia et al.	423	265	
		5,281,261	1/94	Lin et al.	106	20R	
		5,418,277	5/95	Ma et al.	524	520	
		5,545,504	8/96	Keoshkerian et al.	430	137	
		5,554,739	9/96	Belmont	534	885	
		5,571,311	11/96	Belmont et al.	106	20	
		5,630,868	5/97	Belmont et al.	106	31.75	
		5,698,016	12/97	Adams et al.	106	316	
		5,707,432	1/98	Adams et al.	106	31.6	
		5,713,988	2/98	Belmont et al.	106	31.6	
		5,714,993	2/98	Keoshkerian et al.	347	95	
		5,716,435	2/98	Aida et al.	106	31.85	
		5,749,950	5/98	Mahmud et al.	106	316	
		5,766,268	6/98	Bruhnke	8	647	
		5,837,045	11/98	Johnson et al.	106	31.85	
		5,851,280	12/98	Belmont et al.	106	472	
		5,885,335	3/99	Adams et al.	106	316	
		5,895,522	4/99	Belmont et al.	106	31.6	
		5,914,806	6/99	Gordon II et al.	359	296	
		5,919,846	7/99	Batlaw et al.	524	83	
		5,922,118	7/99	Johnson et al.	106	31.6	
		5,952,429	9/99	Ikeda et al.	525	326.1	
		5,964,935	10/99	Chen et al.	106	401	
		5,968,243	10/99	Belmont et al.	106	31.65	
		5,976,233	11/99	Osumi et al.	106	31.75	
		6,042,643	3/00	Belmont et al.	106	472	
		6,068,688	5/00	Whitehouse et al.	106	31.65	
		6,110,994	8/00	Cooke et al.	523	215	
		6,150,433	11/00	Tsang et al.	523	160	
		6,221,932	4/01	Moffatt et al.	523	160	

EXAMINER

Date Considered

EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Draw line through citation not considered. Include copy of this form with next communication.

A copy of this reference is not provided with this response as it was previously submitted to the office in a prior application, Serial No. _____, filed _____, and relied upon for an earlier filing date under 35 U.S.C. 120.

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(37 CFR 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials	*	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
		6,235,829	5/01	Kwan	524	495	
		6,336,965	1/02	Johnson et al.	106	31.6	
		6,350,519	2/02	Devonport	428	403	
		6,368,239	4/02	Devonport	473	449.2	
		6,432,194	8/02	Johnson et al.	106	499	
		6,458,458	10/02	Cooke et al.	428	407	
		6,472,471	10/02	Cooke et al.	525	165	
		6,479,571	11/02	Cooke et al.	523	215	
		6,372,820	4/02	Devonport	523	215	

FOREIGN PATENTS, DOCUMENTS, OR PUBLISHED FOREIGN PATENT APPLICATIONS

Examiner Initials	*	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
		910310	11/62	GB	C01B		<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	53-094581	8/78	JP	C09C	3/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	01-113472	5/89	JP	C09D	7/12	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	6-128517	5/94	JP	C09D	11/02	<input checked="" type="checkbox"/>	<input type="checkbox"/>
	✓	WO 96/18688	6/96	PCT	C09C	1/56	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 96/18695	6/96	PCT	C09D	11/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 97/47697	12/97	PCT	C09D	11/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 97/48769	12/97	PCT	C09B	67/22	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 99/04974	2/99	PCT	B41C	1/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 99/19143	4/99	PCT	B41C	1/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 99/19144	4/99	PCT	B41C	1/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 99/23174	5/99	PCT	C09C	1/56	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 99/37481	7/99	PCT	B41C	1/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 99/37482	7/99	PCT	B41C	1/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 99/51690	10/99	PCT	C09B	69/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 00/05313	2/00	PCT	C09C	3/10	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 00/16987	3/00	PCT	B41M	5/36	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 00/43446	7/00	PCT	C08K	9/06	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 00/52102	9/00	PCT	C09B	67/00	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	✓	WO 01/25340	4/01	PCT	C09B	67/20	<input type="checkbox"/>	<input checked="" type="checkbox"/>

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Examiner Initials	*	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	International Search Report for PCT/US01/20793, mailed January 9, 2002
	Derwent Abstract No. 82-28019E (10/17/79), "Penetrate Flexographic Print Ink Based Polyacrylic Resin Modified Maleinised Rosin Modified Carbon Black"
	JP90-0134478 to Mitsubishi Kasei Corp, Publication Date 1/31/92
	Abstract Only (from Derwent))
	JP96-0105108 to Mitsubishi Chem Corp, Publication Date 11/11/97
	Abstract Only (from Derwent))
	"Effect of Hydrogen Acceptors on pKa of Phenol Resins: Links to Dissolution Inhibition,"
	Macromolecules, 1998, vol 31, pages 7723-7727

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